

PRIVACY POLICY STATEMENT

Investment advisors are required by law to inform their clients of their policies regarding privacy of client information. Investment advisors are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the client the right to limit some, but not all, sharing of personal information. It also requires advisors to inform clients how their personal information is collected, shared, and protected.

2K Capital L.L.C. considers protection of client privacy a preeminent concern. As a financial services firm, 2K Capital collects and uses non-public personal information (NPI) in order to provide its clients – prospective, current and former – with a broad range of financial services as effectively as possible. This notification is to inform you of the types of NPI collected, 2K Capital’s privacy safeguards and sharing practices. 2K Capital handles all client NPI in accordance with this policy.

TYPES OF NON-PUBLIC PERSONAL INFORMATION (NPI) COLLECTED BY FIRM

2K Capital collects non-public personal information about you that is either provided to our firm by you or obtained by our firm with your authorization. This can include, but is not limited to, your social security number, date of birth, banking information, financial account numbers and/or balances, sources of income, and credit card numbers or information.

PARTIES TO WHOM INFORMATION MAY BE DISCLOSED

Under certain circumstances, investment advisors may be required to share client personal information. 2K Capital does not disclose any client non-public personal information (NPI) without the client’s express consent, except as permitted and required by law. The section below, enumerates the parties with whom 2K Capital may share client information:

- Employees of the firm itself
- The firm’s affiliates
- Unaffiliated service providers such as account custodians, broker-dealers, banks and/or mutual fund companies
- Account aggregation services chosen by mutual agreement

If requested, 2K Capital will share client non-public information for the following reasons in order to comply with laws and regulations:

- To comply with any court order, law or legal process, including to respond to any government or regulatory request
- To enforce or apply 2K Capital’s terms of use and agreements
- If deemed necessary and/or appropriate to protect the rights, property or safety of 2K Capital L.L.C., its representatives and its clients; this includes the exchange of information with other organizations for the purposes of fraud protection and reduction of credit risk

2K Capital may begin sharing your information on the day you sign the investment advisory agreement. In the event that you discontinue your relationship with 2K Capital, the firm may continue to share your information only under the conditions described in this notice.

PROTECTING THE CONFIDENTIALITY OF CURRENT AND FORMER CLIENTS’ INFORMATION

To protect client information from unauthorized access and use, 2K Capital utilizes security measures that comply with federal law, including computer safeguards and secured files and office premises.

DISCLOSING PERSONAL INFORMATION TO NON-AFFILIATED PARTIES

2K Capital does not sell, share or disclose client personal information to persons or entities other than as described above. 2K will not share or disclose such information to non-affiliated third-party marketing companies.