



Form CRS – Client Relationship Summary

Prepared 3rd November 2024

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CLIENT RELATIONSHIP SUMMARY

2K Capital L.L.C. (“2K Capital”, “2K”, “Our Firm” or “The Advisor”) is a registered investment advisor with the State of New York. Investment management and investment advisory services and fees differ; it is important for the retail investor (“you” or “The Client”) to understand these differences. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS; this resource also provides educational materials regarding broker-dealers, investment advisors and investing more generally.

Q | What investment services and advice can you provide me?

2K Capital offers investment advisory services to individuals, pension plans, profit sharing plans, trusts, estates and charitable organizations. We serve as a fiduciary to clients as defined under the applicable laws and regulations. As a fiduciary, our firm upholds a duty of loyalty, fairness and good faith towards each client and seeks to mitigate potential conflicts of interest.

2K Capital provides customized investment management solutions for its clients. This service is achieved through personal client interaction and the provision of discretionary investment management services. 2K Capital works with each client to identify their financial situation, investment objectives and risk tolerance in order to create a portfolio strategy. Next, we construct a diversified investment portfolio to achieve the client’s investment goals. Instruments that our firm may utilize include open-end mutual funds, closed-end mutual funds, exchange-traded funds (“ETFs”), individual equity issues, equity REITs, sovereign bonds, investment grade credit issues, high yield bonds and options contracts.

2K Capital’s investment approach is primarily long-term focused, but our firm may buy, sell or re-allocate positions that have been held for less than one year to meet the objectives of the client or to capitalize on prevailing market conditions. 2K Capital will construct, implement and monitor the portfolio to ensure it meets the objectives, circumstances and risk tolerance agreed to by the client. Each client will have the opportunity to place reasonable restrictions on the types of investments to be held in their respective portfolio, subject to review by our team.

Additional information *For further detail regarding our investment advisory services, investment strategies, risk management practices and other services, please review our Form ADV, Part 2A (“Brochure”) which is available at <https://2kcap.net>.*

Conversation Starters

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your education, licenses and other qualifications? What do these qualifications mean?

Q | What fees will I pay?

2K Capital collects fees based on the market value of a client’s assets under management with our firm. Clients will remit payment for investment advisory services that were rendered on a quarterly basis, in arrears. Fees assessed for our services will not be modified in any way without prior written notification to you, the client. Compensation to 2K Capital occurs only in the form of a management fee; our firm does not assess additional fees for capital gains or appreciation of the assets managed (i.e., performance-based compensation). Fees may be negotiable at our firm’s discretion.

Although compensation to 2K Capital is limited to the aforementioned management fee, clients may be subject to additional fees in the form of transaction costs associated with the purchase or sale of securities for their account(s), expenses associated with mutual fund and ETF vehicles (e.g.: internal fund management fees, 12b-1 fees, front-end/back-end loads, etc.) or custody expenses incurred for maintaining assets with the designated custodian. Please note that these fees are assessed by third party providers and are received by neither 2K Capital nor its investment advisory representatives.

Additional information *You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For further detail regarding our fees and additional expenses including transaction costs, fund internal management fees, etc., please review our Form ADV, Part 2A (“Brochure”) which is available at <https://2kcap.net>.*

Conversation Starters

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Q | What are your legal obligations to me when acting as my investment advisor? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment advisor, we are governed by a fiduciary responsibility to act in your best interests and not place our own interest ahead of yours. At the same time, the way we make money may create potential conflicts with your interests. You should understand and ask us about these conflicts because

they can affect the investment advice we provide you. Below are some examples to help you understand what this means.

Regarding our fee compensation, the more assets there are in your account(s), the more you will pay in fees; we, therefore, have an incentive to encourage you to increase the amount of assets you contribute to the account(s) managed by our firm. Moreover, the pursuit of riskier investment strategies may result in increased performance; this would result in greater total assets managed on your behalf and greater fee compensation to our firm. Please note that ongoing fees will reduce the value of your portfolio over time. Because of the fees you incur – whether they are paid separately or debited directly from your account(s) – you will have a smaller amount invested that is earning a return. We encourage you to discuss the impact of fees with your client relationship manager.

These circumstances create potential conflicts of interest between our firm and you, the client. Please be advised that we seek to conduct ourselves in a manner which we consider fair and consistent with our fiduciary obligations to you. We endeavor to make investment decisions based on your investment objectives, restrictions, permitted investment techniques, available cash and other relevant considerations.

Additional information *For further detail regarding potential conflicts of interest, please review our Form ADV, Part 2A (“Brochure”) which is available at <https://2kcap.net>.*

Conversation Starters

- How might your conflicts of interest affect me, and how will you address them?

Q | How do your financial professionals make money?

Our firm’s financial professionals receive a base salary for their investment advisory and portfolio management efforts. Financial professionals who are also owners of 2K Capital L.L.C. (referred to as “principals”) are eligible to receive a share of the firm’s profits. Investment advisory representatives of 2K Capital L.L.C. do not receive additional compensation for recommending particular securities or other investment products; therefore, their remuneration is not affected by this conflict of interest.

Q | Do your financial professionals have legal or disciplinary history?

No, neither 2K Capital L.L.C. nor its investment advisory representatives have histories of legal or disciplinary action. Please note that you can review the history of our financial professionals via a free and simple search tool available at investor.gov/CRS.

Conversation Starters

- As a financial professional, do you have any disciplinary history? If so, for what type of conduct?

Additional information *If you have any additional questions regarding our investment advisory and investment management services, please review our Form ADV, Part 2A (“Brochure”) which is available at <https://2kcap.net>. If you would like up-to-date information or to request a copy of this relationship summary, please contact us via email to info@2kcap.net.*

Conversation Starters

- Who is my primary contact person?
- Is he or she a representative of an investment advisor or of a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?